



*A member of the Hennessy & Hennessy Companies*

Dear Valued Client,

The news has recently been filled with a number of stories concerning Bernard Madoff and the Investment Advisory firm, Benard L Madoff Investment Securities, that he operated. His firm has been accused of falsifying returns by paying certain investors with funds received from new investors. The Advisory firm was not registered with the Securities and Exchange Commission because it appeared to be a firm 3C7, which dealt with only sophisticated investors. It served as the Broker Dealer for the group and also it appears the all the account reporting was generated internally.

I would like to assure you that Resources Planning Group is structured in such a way eliminating the possibility of this occurring here.

- First and foremost, your funds and securities are held at an outside Custodian. They are responsible for maintaining your accounts and generating the monthly statements you receive.
- Resources Planning Group is registered and regulated by the Securities and Exchange Commission. It is subject to periodic audits from the SEC to assure compliance to their regulations.
- Distribution of funds from your account(s) must be directed to an account of the same registration or a letter must be signed by you as the account holder

Resources Planning Group takes pride in the measures enacted to secure your funds. We understand that, at times, these procedures may cause an inconvenience. However, we feel these safeguard are in place to protect you. If you have any questions concerning this, please do not hesitate to contact me.

I hope you have a Joyful Holiday,

Michael Marietti  
Portfolio Manager

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